

I. GENERAL INSTRUCTIONS

1. To recover as a Member of the Class based on your claims in the action entitled *Peter Johnson v. Maker Ecosystem Growth Holdings, Inc., nka Metronym, Inc.*, Case No. 3:20-cv-02569-MMC (“the Litigation”), you must complete and sign this Proof of Claim and Release. If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Proof of Claim and Release, your claim may be rejected and you may be precluded from any recovery from the Settlement Fund created in connection with the proposed Settlement of the Litigation.

2. Submission of this Proof of Claim and Release, however, does not assure that you will share the proceeds of the Settlement of the Litigation.

3. **YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM AND RELEASE ON OR BEFORE DECEMBER 1, 2023, ADDRESSED AS FOLLOWS:**

Johnson v. Maker Ecosystem Growth Holdings, Inc. et al. Settlement Administrator
c/o CPT Group, Inc.
50 Corporate Park
Irvine, CA 92606

Online submissions: www.MakerProtocolSettlement.com. If you are NOT a Member of the Class (as defined in the Notice of Pendency and Settlement of Class Action (the “Notice”)), DO NOT submit a Proof of Claim and Release form.

4. If you are a Member of the Class and you do not timely request exclusion in connection with the proposed Settlement, you will be bound by the terms of any judgment entered in the Litigation, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM AND RELEASE FORM.**

II. CLAIMANT IDENTIFICATION

You are a Settlement Class Member if you had a Collateralized Debt Position (“CDP”) or Vault on the Maker Protocol and were forced to liquidate your ETH on March 12 or 13, 2020, and received Zero Compensation (meaning a winning bid of \$0-\$10/ETH) as part of any related auction, unless you are an excluded party under the terms of the Stipulation of Settlement (the “Settlement Agreement”).

Use Part I of this form entitled “Claimant Identification” to identify each holder of record (“nominee”), if different from the beneficial holder of the CDP or Vault which form the basis of this claim. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL HOLDER(S) OR THE LEGAL REPRESENTATIVE OF SUCH HOLDER(S) OF THE CDP OR VAULT UPON WHICH THIS CLAIM IS BASED.**

All joint holder(s) must sign this claim. Executors, administrators, guardians, conservators and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or Taxpayer Identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

If you are acting in a representative capacity on behalf of a Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

III. CLAIM FORM

Use Part II of this form entitled “Schedule of Owners of CDPs or Vaults” to supply all required details of your ownership of CDP(s) or Vault(s). If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

Copies of documents evidencing your ownership of CDP(s) or Vault(s) and the amount of Ethereum you had to liquidate on March 12 or 13, 2020 for which Zero Compensation was received, if available, should be attached to your claim.

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

Peter Johnson v. Maker Ecosystem Growth Holdings, Inc., nka Metronym, Inc.
Case No. 3:20-cv-02569-MMC

PROOF OF CLAIM AND RELEASE

PART I: CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address listed above in paragraph three (3) of the General Instructions. Complete names of all persons and entities must be provided.

Beneficial Owner's Name:

Co-Beneficial Owner's Name:

Entity Name (if claimant is not an individual):

Representative or Custodian Name (if different from Beneficial Owner(s) listed above):

Address 1 (street name and number):

Address 2 (apartment, unit, or box number):

City

State/Province

Zip/Postal Code

Country

Your Social Security Number (for individuals) or Taxpayer Identification Number (for estates, trusts, corporations, etc.):

Telephone Number (home):

Telephone Number (work):

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Email Address:

Account Number (if filing for multiple accounts, file a separate Claim Form for each account):

Claimant Account Type (check appropriate box):

☐ Individual (includes joint owner accounts)

☐ Corporation

☐ IRA/401k

☐ Other (please specify): _____

☐ Pension Plan

☐ Estate

☐ Trust

PART II: SCHEDULE OF OWNERS OF CDPS OR VAULTS

- A. State the total number of CDPs or Vaults held on March 12 or 13, 2020: _____
- B. Blockchain address of each affected CDP or Vault: _____
- C. Amount of ETH you were forced to liquidate on March 12 or 13, 2020 for which you received Zero Compensation (meaning \$10/ETH or less) at the auction: _____

If additional space is needed, attach separate numbered sheets, giving all required information, substantially in the same format, and print your name and Social Security or Taxpayer Identification number at the top of each sheet.

YOU MUST READ AND SIGN THE RELEASE BELOW. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING YOUR CLAIM OR IN THE REJECTION OF YOUR CLAIM.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGEMENTS

I (We) submit this Proof of Claim and Release under the terms of the Settlement Agreement described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of California with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the holding of a CDP or Vault on or powered by the Maker Protocol and the liquidation of ETH on March 12 or 13, 2020 for which Zero Compensation was received (meaning \$10/ETH or less). I (We) know of no other person having done so on my (our) behalf.

V. RELEASE

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge the Released Defendant Parties from the Settlement Class's Released Claims as provided in paragraphs 4.1 through 4.3 of the Settlement Agreement.

2. This release shall be of no force or effect unless and until the Court approves the Settlement Agreement and the Settlement becomes effective on the Effective Date.

3. I (We) hereby warrant and represent that I (We) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

4. I (We) hereby warrant and represent that I (We) have included information about all of my (our) holding of a CDP or Vault on the Maker Protocol and my (our) liquidation of ETH on March 12 or 13, 2020 for which I (We) received Zero Compensation (meaning \$10/ETH or less) at auction.

I declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Executed this _____ day of _____
(Month/Year)

in _____
(City) (State/Country)

(Sign your name here)

(Type or print your name here)

(Capacity of person(s) signing,
e.g., Beneficial Holder)

**ACCURATE CLAIMS PROCESSING TAKES A
SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist

1. Please sign the above release and acknowledgment.
2. Remember to attach copies of supporting documentation.
3. Keep a copy of your claim form and all supporting documentation for your records.
4. If you desire an acknowledgment of receipt of your claim form, please send it Certified Mail, Return Receipt Requested.
5. If you move, please send your new address to the address below.
6. Do not use red pen or highlighter on the Proof of Claim and Release or supporting documentation.

**THIS PROOF OF CLAIM AND RELEASE MUST BE SUBMITTED ONLINE OR, IF MAILED,
POSTMARKED NO LATER THAN DECEMBER 1, 2023, ADDRESSED AS FOLLOWS:**

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c/o CPT Group, Inc.
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